Forte Securities Ltd – Held NMS Stocks and Options Order Routing Public Report

Generated on Fri Jan 7 2022 16:58:25 GMT-0400 (Eastern Daylight Time)

4th Quarter, 2021

October 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Ve	enues	Non-	Market	Marketable	Non-	Other	Net Payment							
N	Non-	Directed	Orders	Limit	Marketable	Orders	Paid/Received							
Dir	rected	Orders	(%)	Orders (%)	Limit	(%)	for Market	for Market	for	for	for Non-	for Non-	for Other	for Other
0	rder	(%)			Orders (%)		Orders(USD)	Orders(cents	Marketable	Marketable	Marketable	Marketable	Orders(USD)	Orders(cents
F	low							per hundred	Limit	Limit	Limit	Limit		per hundred
								shares)	Orders(USD)	Orders(cents	Orders(USD)	Orders(cents		shares)
										per hundred		per hundred		
										shares)		shares)		
	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Material Aspects:

October 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orde as % of All Orders			n- Orders as % of	Non-	Other Orders as % of Non-Directed Orders
0	00 0	.00	0.00	0.00	0.00

Venues

Venues Non- Directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
		_					shares)	Orders(USD)	Orders(cents per hundred shares)	Orders(USD)	Orders(cents per hundred shares)		shares)

Material Aspects:

material Aspects.

October 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venues Non- Directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Chicago Board Options Exchange (CBOE)	61.11	0.00	0.00	0.00	61.11	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
American Stock Exchange (AMEX)	26.39	0.00	0.00	0.00	26.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Boston Options Exchange (BOX)	6.94	0.00	0.00	0.00	6.94	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Philadelphia Stock Exchange (PHLX)	5.56	0.00	0.00	0.00	5.56	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

November 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venues Non- Directed	Non- Directed Orders	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit	Other Orders (%)	Net Payment Paid/Received for Market	Net Payment Paid/Received for Market	Net Payment Paid/Received for	Net Payment Paid/Received for	Net Payment Paid/Received for Non-	Net Payment Paid/Received for Non-	Net Payment Paid/Received for Other	Net Payment Paid/Received for Other
Order Flow	(%)			Orders (%)		Orders(USD)	Orders(cents per hundred shares)	Marketable Limit Orders(USD)	Marketable Limit Orders(cents per hundred shares)	Marketable Limit Orders(USD)	Marketable Limit Orders(cents per hundred shares)	Orders(USD)	Orders(cents per hundred shares)
	-	-	=	-	-	=	-	-	-	=	-	-	-

Material Aspects:

November 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venues Non- Directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
-	-	-	-	-	-	-	-	-	snares)	-	snares)	-	-

November 2021

Options

Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
I	100.00	0.00	0.00	0.00	100.00

Venues

Venues Non- Directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Chicago Board Options Exchange (CBOE)	67.21	0.00	0.00	0.00	67.21	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
American Stock Exchange (AMEX)	29.51	0.00	0.00	0.00	29.51	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Boston Options Exchange (BOX)	3.28	0.00	0.00	0.00	3.28	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

December 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venues	Non-	Market	Marketable	Non-	Other	Net Payment							
Non-	Directed	Orders	Limit	Marketable	Orders	Paid/Received							
Directed	Orders	(%)	Orders (%)	Limit	(%)	for Market	for Market	for	for	for Non-	for Non-	for Other	for Other
Order	(%)			Orders (%)		Orders(USD)	Orders(cents	Marketable	Marketable	Marketable	Marketable	Orders(USD)	Orders(cents
Flow							per hundred	Limit	Limit	Limit	Limit		per hundred
							shares)	Orders(USD)	Orders(cents	Orders(USD)	Orders(cents		shares)
									per hundred		per hundred		
									shares)		shares)		
-	-	-	-	-	-	-	-	-	-	-	-	-	-

Material Aspects:

internal Aspects.

December 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue Non- Directe Order Flow	Directed d Orders	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
		-	-	-	-	-	-	-	-	-		-	-

Material Aspects:

December 2021

Options

Summary

Non-Directed Orders	Market Orders as % of	Marketable Limit	Non-Marketable Limit	Other Orders as % of
as % of All Orders	Non-Directed Orders	Non-Directed Orders Orders as % of Non-		Non-Directed Orders
		Directed Orders	Directed Orders	

100.00	0.00	0.00	0.00	100.00

Venues

Venues Non- Directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Chicago Board Options Exchange (CBOE)	50.00	0.00	0.00	0.00	50.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
American Stock Exchange (AMEX)	44.19	0.00	0.00	0.00	44.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Chicago Mercantile Exchange (CME)	3.49	0.00	0.00	0.00	3.49	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Boston Options Exchange (BOX)	1.16	0.00	0.00	0.00	1.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Philadelphia Stock Exchange (PHLX)	1.16	0.00	0.00	0.00	1.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects: